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Application Number 09/289,550

Filing Date April 9, 1999

First Named Inventor Richard W. Friesen

Group Art Unit 2164

Examiner Name Charles Kyle

Attorney Docket Number 36992.00106 (formerly 31192-03854)

| Practitioners at Customer Number Place Customer Number Bar Code Label here | | | | |
|---|--|--|--|--|
| Practitioners at Customer Number Name/ Registration Number Name/ Registration Number Marc A. Sockol, Reg. No. 40,823; Daryl C. Josephson, Reg. No. 37,365; Cameron Kerrigan, Reg. No. 44,826; David B. Abel, Rog. No. 32,394; Nathan Lane, Reg. No. 43,738; Michael Lechter, Reg. No. 27,350; Victor Repkin, Reg. No. 45,039; David Koo, Reg. No. 46,839; David Rogers, Reg. No. 38,287; William Bachand, Reg. No. 34,980; Aaron Wininger, Reg. No. 45,229; Paul A. Durdik, Reg. No. 37,819; Paul J. Meyer 47,791; Vidya R. Bhakar, Reg. No. 42,323; Alexander B. Ching, Reg. No. 41,669; Douglas H. Goldhush, Reg. No. 33,125; Kevin F. Turner, Reg. No. 43,437; Dinnatia J. Doster, Reg. No. 45,268; Rhonda L. Barton, Reg. No. 47,271 and Sam Huang, Reg. No. 48,430. as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith. Please change the correspondence address for the above-identified application to: | | | | |
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| I am the: | | | | |
| Applicant/Inventor. | | | | |
| Assignee of record of the entire interest. See 37 CFR 3.71. | | | | |
| Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96). | | | | |
| SIGNATURE of Applicant or Assignee of Record | | | | |
| Name Yasushi SEMBA, Manager, Hitachi, Ltd., Intellectual Property Office | | | | |
| Signature Usanh & | | | | |
| Date 70, 24, 2003 | | | | |
| | | | | |
| NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below. | | | | |

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the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. STATEMENT UNDER 37 CFR 3.73(b) Applicant/Patent Owner: Hitachl, Ltd. Filed/Issue Date: Filed April 9, 1999 Application No./Patent No.: 09/289,550 Entitled: User Interface for Electronic Trading System a Corporation Hitachi, Lid (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.) (Name of Assignee) states that it is: the assignee of the entire right, title, and interest; or an assignee of an undivided part interest In the patent application/patent identified above by virtue of either: A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame ____, or for which a copy thereof is attached. OR B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below: To:EPIT inc. 1. From: Friesen, Richard W. and Hart, Peter C. The document was recorded in the United States Patent and Trademark Office at Reel 009994, Frame 0472, or for which a copy thereof is attached. 2. From: EPIT Inc. To: Hitachi, Ltd The document was recorded in the United States Patent and Trademark Office at Reet _____, Frame _____, or for which a copy thereof is attached. 3. From: The document was recorded in the United States Patent and Trademark Office at ____, Frame _____, or for which a copy thereof is attached. Additional documents in the chain of title are listed on a supplemental sheet. Copies of assignments or other documents in the chain of title are attached. NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8] The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee. Yasushi SEMBA Typed or printed name

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Manager, Hitachi, Ltd., Intellectual Property Office 01/23/2003 16:35 FAX 415 393 9887



PATENT APPLICATIONS ASSIGNMENT

This PATENT APPLICATIONS ASSIGNMENT (this "Assignment"), dated October 21, 2002, is entered into by ePIT Systems (formally known as ePIT, Inc.), a California corporation ("Assignor"), as assignor, in favor of Hitachi, Ltd., a Japanese corporation ("Assignee"), as assignee.

WHEREAS, Assignee was chosen as the winning bidder in a sealed bid auction conducted by Assignor pursuant to Assignor's Notice of Sealed Bid Auction ("Notice"), and pursuant to which Assignor has agreed to soll and assign, and Assignee has agreed to buy and acquire certain patent applications from Assignor;

WHEREAS, pursuant to the terms and conditions of the Notice, Assignor desires to assign and transfer to Assignee all of Assignor's right, title, and interest in and to certain patent applications as listed below;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor hereby, sells, assigns, transfers and conveys to Assignee, for itself and its successors, transferees, and assignees, the following:

The entire worldwide right, title, and interest in all inventions and improvements ("Subject Matter") that are disclosed in the following applications ("Patent Rights"):

| Country/ Origin | Patent Application Serial No. | Title | Filing Date |
|--------------------|-------------------------------|--|-----------------|
| U.S.A. | 09/289,550 | User Interface For Electronic Trading System | April 7, 2000 |
| U.S.A. | 09/651,301 | User Interface For Semi-Fungible Trading | August 30, 2000 |
| Europe | 00920223.5 | User Interface For Electronic Trading System | April 7, 2000 |
| Japan | 2000-611188 | User Interface For Electronic Trading System | April 7, 2000 |

The entire worldwide right, title, and interest in and to (a) the Patent Rights, including any right of priority; (b) any provisional, divisional, continuation, continuation-in-part, substitute, renewal, reissue, and other related applications thereto which have been or may be filed in the United States or elsewhere in the world; (c) any patents which may be granted on the applications set forth in (a) and (b) above; and (d) the right to sue in its own name and to recover for provisional rights and past, present and/or future infringement of any or all of any applications or patents issuing therefrom.

2005

No. 2364 P. 4

Assignor hereby appoints Assignee as its attorney-in-fact with respect to the Subject Matter and Patent Rights that are the subject of this Assignment to act in Assignor's name, place and stead to execute, deliver and record any document or instrument of assignment required in any country in which such documents may be required to transfer, grant or confirm the rights transferred, granted and confirmed herein.

This Assignment is given pursuant to the provisions of the Notice, including but not limited to "Exhibit B" to the Notice, and is made subject to the terms and provisions of the Notice and all exhibits to the Notice.

[Remainder of Page Intentionally Left Blank]

This Assignment may be executed in one or more counterparts, each of which shall be deemed an original and all of which together shall constitute one original instrument,

IN WITNESS WHEREOF, Assignor has caused this Assignment to be duly executed by its authorized representative on and as of the day and year first above written.

EPIT SYSTEMS

ampbell, President

COUNTRY OF U.S.A. STATE OF CALIFORNIA COUNTY OF Alamade

SS.:

On this Z \ day of Oct , 2002, before me personally appeared I imoth I compbell F personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that she executed the same in her authorized capacity and that by her signature on the instrument, the person, or entity on behalf of which the person acted, executed the instrument.

Notary Public

(Scal)



[Signature Page to Patent Applications Assignment]

No. 2364 P. 5

This Assignment acknowledged and agreed to by:

EITACHI, LTD.

Name: Executive Managing Director,

Intellectual Property Group

(Authorized Signing Officer) Title: __